**Disputes 2017 – Frequently Asked Questions** Output from Disputes Webinar held on 10<sup>th</sup> November 2017

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Question	Answer
What time are the prequal results	We have until 5pm today to publish the
expected today?	results, but we are working to release them
	as soon as possible.
Will there be a list published on the	At this stage, the results are confidentially
website as well, or will the	sent to individuals, the results are not made
prequalification results will only be	public until the first register is published on
communicated through individual letters?	the 1 <sup>st</sup> December 2017.
Will notifications as just to Main Admina?	The polification goes to all users who have
Will notifications go just to Main Admins?	The notification goes to all users who have
I find it strange that it has been	been set up with "Approver" status We made the slots available early to allow
I find it strange that it has been	
mentioned just now, that many people	applicants the possibility of booking the slot
have already booked dispute slots (out of	before the results are released on the Friday.
the 140 available) when no-one knows	We have done this because we are aware
their prequalification status. Surely the	that the time between the results being
ability to book a slot should be at the	released and having to submit a Dispute Notice is short, so wanted to make sure
point in time you know you may need oneie after you have prequalification	applicants could be prepared as soon as
notice. Can this be made clear in the	•••
	possible.
conference please or have I mis-	
understood.	
If a CMU is prequalified but wish to	Only DSR providers are available to change
reduce the Capacity due to recent	their Capacity before the auction, other CMU
generator's issue, do we have to go	Types are unable to change their Capacity
through a dispute process or simply	once the application has been submitted
communicate that with NG? In CMUs with	
few components and with the new £35k	
penalties for not meeting the three SPDs	
that might be concern for some suppliers.	
•	
Can you please confirm that credit cover	Credit cover could be required for Existing
is NOT required for existing generation	Generating CMU's as they still have the
CMUs despite what slide 10 says?	ability to defer Connection Agreements for.
	Please see rule 3.10A.3.
One exercises the OO set of the operation	
One suggestion: the 20 minutes surgery	Unfortunately, due to the number of
phone calls are limited to 1 call per parent	participants involved in the Capacity Market
company. This should be proportional to	we need to be equitable across all providers
the number of CMU which are applied for.	to allow everyone the same opportunity to
	access support.
Will the letter sent later today advise on	Yes, the letter will be very clear on the areas
reason for failure?	where errors have been found.
On slide 20 - in what circumstance	The advice here is do not click Withdraw
SHOULD I click withdraw?	unless you are absolutely certain that you do
	not wish to submit a Dispute against an
	application. Clicking Withdraw will remove

	your ability to raise a dispute.
My Application CMU consists of one Mandatory generator and two non- mandatory generators. If the issue(s) that causes a failure of my application relates solely to either one or both of the non- mandatory generators, can I remove those generators from the application during the dispute process and just submit the Mandatory generator on its own?	I'm afraid you are unable to change the configuration of your CMU once you have submitted an application.
Hi I have just tried again and cannot book a disputes call	Please contact the Delivery Body to discuss this.
When will the derating of Batteries Storage be published	We are unable to confirm when this information will be out. We hope it will be soon.
As I understand it credit cover is based on derated capacity. We have battery storage projects, what is the derating number we should use to work out our credit cover? Is this number the derating number that will hold in the Auction?	The amount of credit cover you need to provide will be outlined in your Prequalification Results letter. As we do not yet know the outcome of the Storage Consultation the de-rating factors will remain as outlined in the Auction Guidelines. These figures and associated Credit Cover will then be adjusted by the Delivery Body once the outcomes of the Storage Consultation have been released.
Will the CM registers from previous auctions/delivery years become available again after today?	These were never made unavailable to you. You can access them by clicking <u>here</u> .
Will Existing Generators need to post credit cover if they are applying for a 15 year contract (as spending more than the TPS threshold)?	<ul> <li>Credit Cover requirements are not impacted on by agreement duration or TPS. Credit Cover is required for the following reasons:</li> <li>Deferred Connection Agreement</li> <li>Financial Commitment Milestone still outstanding</li> <li>Unproven DSR CMU's</li> </ul>
Is there a confirmation email when a surgery has been booked, as I haven't received one having booked on Wednesday?	Yes, you should receive confirmation via email. If you have not received this and you believe you have booked a place then please email the Delivery Body at <u>emr@nationalgrid.com</u> and we will check this for you.
Re. credit cover for battery storage projects: Having to raise x96%credit cover is massively different from having to raise a lower number and it seems	The Rules require that you post Credit Cover, following your Prequalification decision, if you are Conditionally Prequalified, to your Derated Capacity as will

incredible that you have not yet told us give how soon we have to post it. At the very least the date that credit cover needs to be posted for battery storage projects should be delayed until you have told us the derating factor.	be outlined on your Prequalification Decision letter.
Is it possible for BEIS to change the de- rating factor of storage after prequal results and credit has been posted for the auctions taking place in Jan and Feb18?	Yes. We will make adjustments once the outcomes of the review have been released.
Are we able to update the FCM for new build generation between now and 1 December, and thereby not post credit cover?	No, any completed FCM's should have been included in your Prequalification Application. FCM's cannot now be submitted until your Agreements have been released (if you are successful in obtaining one). Credit cover must therefore be posted.
If an Exhibit A was not submitted as a clerical error prior the 29th September at 5pm are we able to resubmit it as part of the appeal or do we need to point to where the information is verified elsewhere in the application?	Missing documents, that should have been provided as part of the application under the Capacity Market Rules, cannot be considered by the Delivery Body after the deadline for Prequalification submission Window (29 <sup>th</sup> September 2017, 5pm).
If an Exhibit D was submitted as part of a T-1 Application but omitted in the T-4 application for the same site, can the missing exhibit D in the T-4 application be verified by reference to the T-1 application in its appeal?	All Applications are assessed in isolation and therefore we cannot use information or evidence submitted as part of another Application.